



The City of New York
BUSINESS INTEGRITY COMMISSION
100 Church Street · 20th Floor
New York · New York 10007
Tel. (212) 437-0500 · Fax (646) 500-7096

INSTRUCTIONS

LABOR UNION OR LABOR ORGANIZATION REGISTRATION APPLICATION

**PLEASE READ THESE INSTRUCTIONS AND ALL QUESTIONS CAREFULLY
AS THE APPLICATION HAS RECENTLY BEEN REVISED**

Definitions of terms used in the application are set forth in Title 22, Chapters 1-A and 1-B of the Administrative Code of the City of New York (“Code”) and in Title 17, Chapter 2 of the Rules of the City of New York. Certain of these definitions have been excerpted and attached as Appendix A to the application. Before completing this application, the applicant and all others required to execute documents hereunder should read and familiarize themselves with Title 22 of the Code and the related rules. These instructions are not a substitute for such a complete review and may not be relied on in lieu of the law and rules. Copies of statute and rules are available at the office of the New York City Business Integrity Commission (“Commission”).

Attached is the application for a labor union or labor organization registration. If a question is not applicable, write "not applicable" or "N.A." An application that has not been completed properly may be denied as incomplete and/or on the basis of the Commission's investigation and review of the incomplete application, or the application may be returned to the applicant without being processed. An application returned as incomplete will not be deemed submitted.

DOCUMENTS TO BE SUBMITTED

In addition to the application form, a number of documents must be submitted to the Commission in order for the application to be considered. The applicant must submit the following documents (to the extent applicable), some of which are described in these instructions, some in the body of the application and attachments, and some in the applicable rules. Samples of some of the required documents include but are not to the following:

- Labor Union or Labor Organization Registration Application
- Notarized Certification (signed by an officer)
- Notarized Release (signed by an officer)
- Documents relating to any forfeiture, receivership or independent monitoring
- Officer Registration Disclosure Form (for each officer of the labor union or labor organization)
- Payment by credit card,¹ money orders, or checks made **payable to the “New York City Business Integrity Commission”** to cover the following fees:
 - \$4000 – Labor Union Registration application fee
 - \$600 – for each Officer Registration Disclosure Form

ALL FEES NON-REFUNDABLE

THE APPLICANT MUST SUBMIT AN ORIGINAL AND ONE COPY OF THE APPLICATION AND ALL DOCUMENTS.

NOTE: REQUEST(S) TO WITHDRAW AN APPLICATION WILL BE EVALUATED ON A CASE BY CASE BASIS AND WILL NOT NECESSARILY BE APPROVED.

¹ Effective Monday, December 2, 2013, due to City-wide policy, all credit card and debit card transactions will be charged a fee of 2.49% of the payment amount.

LABOR UNION OR LABOR ORGANIZATION REGISTRATION APPLICATION

Each page submitted by the applicant must contain in the lower left hand corner the applicant's social security or tax identification number and each page must be numbered sequentially as "Page __ of __ pages" (e.g., if a twenty-five page application were submitted, the first page would be marked: "Page 1 of 25 pages").

Applicant requiring additional space to complete any answer may attach additional pages to the application. Indicate on the top of each such additional page, the Part and question number to which the additional page relates. The Schedules in the application also may be copied if additional schedule pages are needed. All additional pages and Schedules also must be identified in the lower left-hand corner with the applicant's social security or tax identification number. Each added page must be numbered sequentially like the rest of the application (e.g., "Page __ of __ pages").

The applicant is under a continuing obligation to update answers to application questions marked with an asterisk (*). Any change in the answer to such a question, must be provided to the Commission in writing, as specifically set forth in Title 22, Chapters 1-A and 1-B of the Code and the rules promulgated thereunder, or otherwise no later than thirty (30) days after the change occurs. The applicant's continuing obligation begins upon submission of the registration application and extends throughout the processing period and any registration term.

NOTARIZED CERTIFICATIONS

Upon completion of the application and all of its attachments, a notarized certification form and a notarized release form must be executed. Officers of the applicant business must separately provide the Officer Registration Disclosures as noted below.

OFFICER INFORMATION DISCLOSURES

Each officer, as that term is defined, must complete an officer information form and the notarized certification attached to the Officer Registration Disclosure Form (in addition to the notarized certification for the license application itself).

THE COMMISSION WILL NOTIFY THE APPLICANT WHEN THE APPLICATION IS APPROVED AND WILL SCHEDULE AN APPOINTMENT FOR A OFFICER OF THE APPLICANT TO APPEAR AT THE OFFICES OF THE COMMISSION TO:

- Sign a Registration Order.

All applications may be submitted in person or mailed to:

**NYC Business Integrity Commission
100 Church Street, 20th Floor
New York, 10007**

If you have any questions about this application, please call 212-437-0555.



The City of New York
BUSINESS INTEGRITY COMMISSION
 100 Church Street · 20th Floor
 New York · New York 10007
 Tel. (212) 437-0500 · Fax (646) 500-7096

**LABOR UNION/LABOR ORGANIZATION
 REGISTRATION APPLICATION**

-----OFFICE USE ONLY-----

APPLICATION #: _____ **DATE RECEIVED:** _____

RECEIVED BY: _____

*1. Name of the applicant labor union or labor organization or local number:

*2. Main Office: _____

*3. Mailing Address: _____

*4. Business telephone number(s): _____ Fax Number: _____

*5. E-Mail Address: _____ *Website, if any: _____

6. Affiliated national or international union's business name, address, and telephone number:
 Name: _____
 Address: _____
 Telephone Number: _____ E-Mail Address: _____

*7. Agent for Service of Process in **New York City**. State the name, address, and telephone number of the person of suitable age and discretion who shall be designated as Applicant's agency for service of process in New York City.

Name: _____

Address: _____

Telephone No.: _____ Fax Number: _____

* (**Asterisk**) denotes material information on the application. Any material change in the information shall be reported to the Business Integrity Commission, in a notarized writing, within thirty (30) calendar days thereof.

Tax ID or SSN: _____

8. Attach to this application a copy of the applicant labor union or labor organization's LM2 filings with the U.S. Department of Labor for the past 3 years.

*9. Does the applicant share office space, staff or equipment (including but not limited to telephone lines) with any other business or organization?

_____ Yes _____ No

If "Yes," provide details below:

*10. On Schedule A below, identify all current officers. For each individual, provide the following information.

SCHEDULE A – CURRENT OFFICERS OF THE APPLICANT

	Current Officer #1	Current Officer #2
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name, Address and Telephone Number		
Position or Title		
From (date) to (date)		

Tax ID or SSN: _____

10. SCHEDULE A (cont.) – CURRENT OFFICERS OF THE APPLICANT

	Current Officer #3	Current Officer #4
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name, Address and Telephone Number		
Position or Title		
From (date) to (date)		

	Current Officer #5	Current Officer #6
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name, Address and Telephone Number		
Position or Title		
From (date) to (date)		

Tax ID or SSN: _____

11. On Schedule B below, identify all individuals who had been officers of the applicant labor union or labor organization at any point during the past ten (10) years. For each individual, provide the following information.

SCHEDULE B – PAST OFFICERS OF THE APPLICANT

	Past Officer #1	Past Officer #2
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		
	Past Officer #3	Past Officer #4
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		

Tax ID or SSN: _____

*12. On Schedule C below, identify all current business agent of the labor union or organization. For each individual, provide the following information.

SCHEDULE C – CURRENT BUSINESS AGENT OF THE APPLICANT

	Business Agent #1	Business Agent #2
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name, Address and Telephone Number		
Position or Title		
From (date) to (date)		
	Business Agent #3	Business Agent #4
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name, Address and Telephone Number		
Position or Title		
From (date) to (date)		

Tax ID or SSN: _____

13. On Schedule D below, identify all individuals who had been business agents of the applicant labor union or labor organization at any point during the past ten (10) years. For each individual, provide the following information.

SCHEDULE D – PAST BUSINESS AGENT OF THE APPLICANT

	Past Business Agent #1	Past Business Agent #2
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		
	Past Business Agent #3	Past Business Agent #4
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		

Tax ID or SSN: _____

14. On Schedule E below, identify all individuals who are or have been members of the Executive Board of the applicant labor union or labor organization at any point during the past ten (10) years. For each individual, provide the following information.

SCHEDULE E – CURRENT AND PAST EXECUTIVE BOARD MEMBERS

	Executive Board Member #1	Executive Board Member #2
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		
	Executive Board Member #3	Executive Board Member #4
Name (first, middle and last) Also include maiden name where applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		

Tax ID or SSN: _____

14. SCHEDULE E (cont.) – CURRENT AND PAST EXECUTIVE BOARD MEMBERS

	Executive Board Member #5	Executive Board Member #6
Name (first, middle and last) Also include maiden name where Applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		
	Executive Board Member #7	Executive Board Member #8
Name (first, middle and last) Also include maiden name where Applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Business Name and Address		
Position Held in Labor Union		
From (date) to (date)		
Reason for Leaving		

Tax ID or SSN: _____

15. How many employees at the New Fulton Fish Market at Hunts Point does the applicant currently represent?

16. How many employees at the Hunts Point Cooperative Market (Hunts Point Meat Market) does the applicant currently represent? _____

17. How many employees at the New York City Terminal Market (Hunts Point Produce Market) does the applicant currently represent? _____

*18. On Schedule F below, identify the current employees of the applicant labor union or labor organization and provide the following information.

SCHEDULE F – LIST OF EMPLOYEES

	Employee #1	Employee #2
Name (first, middle and last) Also include maiden name where Applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Title or Position and Brief Description of Duties		
Hours Worked Per Week		
Employment Start Date		
Salary		
Does employee or any of his or her relatives any ownership or control over any businesses operating in the public wholesale market? If so, identify the business.		

Tax ID or SSN: _____

18. SCHEDULE F (cont.) – LIST OF EMPLOYEES

	Employee #3	Employee #4
Name (first, middle and last) Also include maiden name where Applicable		
Home Address		
Home Telephone Number		
Cellular Number		
E-mail Address		
Date of Birth		
Social Security Number		
Title or Position and Brief Description of Duties		
Hours Worked Per Week		
Employment Start Date		
Salary		
Does employee or any of his or her relatives any ownership or control over any businesses operating in the public wholesale market? If so, identify the business.		

*19. Has the applicant or any past officer, including past business agent and Executive Board member, ever been convicted of any criminal offense in any jurisdiction?

NOTE: In answering this question, **DO NOT** include information regarding any criminal action or proceeding against the applicant business, any current principal of the applicant business, or any past principal of the applicant business that was followed by a termination in favor of that person or business pursuant to New York Criminal Procedure Law § 160.50, by a youthful offender adjudication pursuant to New York Criminal Procedure Law § 720.35, by a conviction for a violation sealed pursuant to New York Criminal Procedure Law § 160.55, or by a conviction sealed pursuant to New York Criminal Procedure Law § 160.58 (conditional sealing of certain controlled substance, marihuana, or specified offenses).

_____ Yes _____ No

If "Yes," provide the details below. **(It is not necessary to provide information relating exclusively to traffic violations.)**

Applicant or Officer Name	Date of Arrest	Date of Conviction	Indictment, Docket or Index No.	Charge(s) and Sentence	Court and Jurisdiction

*20. Are there any criminal charges pending against the applicant labor union or labor organization or any past officer, including past business agent and Executive Board member, in any jurisdiction?

_____ Yes _____ No

If "Yes," provide the details below. **(It is not necessary to provide information relating exclusively to traffic violations.)**

Name	Date of Arrest	Indictment No.	Charge	Status	Court and Jurisdiction

*21. Are there any civil actions pending against the applicant labor union or labor organization or any past officer, including business agent or Executive Board member, in any jurisdiction?
 _____ Yes _____ No

If "Yes," provide the details below.

Name	Docket or Case No. and Date	Charge	Status	Court and Jurisdiction

22. During the past five (5) years has the applicant or any past officer, including past business agent and Executive Board member:

a. been cited for contempt of any court, grand jury, or legislative, civil, criminal or administrative body?
 _____ Yes _____ No

b. entered a plea of nolo contendere, judicial consent decree, administrative order on consent or similar agreement, or been the subject of a default decree?
 _____ Yes _____ No

c. been subject to an injunction in any judicial action or proceeding ?
 _____ Yes _____ No

d. been granted immunity from prosecution for any conduct constituting a crime under state for federal law?
 _____ Yes _____ No

e. refused to testify or answer any question in any criminal, civil or administrative proceeding?
 _____ Yes _____ No

f. been the subject of administrative charges for which the potential sanction was suspension or revocation of a license, permit or registration or a fine, penalty or settlement of \$5,000 or more?
 _____ Yes _____ No

If you answered "Yes" to any of the questions 22(a)-(f) above, provide the following details.

Name	Name and Address of Court or Agency	Nature of Proceedings or Investigation	Name and Position of Person Who Provided Testimony	Date on Which Testimony was Given	Date of Investigation or Consent Decree

Tax ID or SSN: _____

*23. Has the applicant or any past officer, including past business agent and Executive Board member, ever engaged in, or under your supervision, knowingly permitted to occur any of the following:

a. filed with a government agency or submitted to a government employee, in any jurisdiction, any document which you knew contained a false statement or false information?

_____ Yes _____ No

b. falsified the records of any business or enterprise of any kind?

_____ Yes _____ No

c. given, or offered to give, money or any other benefit to a public servant with intent to influence that public servant with respect to any of his or her official acts, duties or decisions?

_____ Yes _____ No

d. given, or offered to give, money or other benefit to an official or employee of a private business with intent to induce that official or employee to engage in unethical or illegal business practices?

_____ Yes _____ No

If you answered "Yes" to any of the questions 23(a)-(d) above, provide the following details.

Name of the Agency Involved	Name of the Public Official Involved	Date of Occurrence	Amount of Money Involved	Document Involved

*24. Has the applicant labor union or labor organization or any past officer, including past business agent and Executive Board member, ever been subject to any investigation by a municipal, state or federal agency of any alleged violation of civil law involving racketeering or the potential forfeiture of any asset?

_____ Yes _____ No

If you answered "Yes," provide the following details.

Name	Agency or Court	Nature of Investigation or Charges	Indictment, Docket, or Index No.	Status

*25. During the past five (5) years, has the applicant labor union or labor organization or any past officer, including past business agent and Executive Board member, been subject to the appointment of an independent auditor, monitor, receiver or trustee?

_____ Yes _____ No

If "Yes," provide the information below.

Name	Name and Address of Auditor, Monitor, Receiver or Trustee	Nature of Audit, Monitorship, Receivership or Trusteeship	Dates of Audit, Monitorship, Receivership or Trusteeship

CERTIFICATION

This certification must be completed by the applicant and all of its current officers before a notary public.

ANY MATERIAL FALSE STATEMENT MADE IN CONNECTION WITH THIS APPLICATION MAY SUBJECT THE PERSON AND/OR ENTITY MAKING THE FALSE STATEMENT TO CRIMINAL CHARGES.

I, _____, being duly sworn, state: that I am
(Full Name)

_____ of _____; and
(Title/Position) (Applicant Name)

I have read and understood the questions contained in the attached application and its attachments, which consists of _____ pages; and to the best of my knowledge the information given in response to each question and in the attachment is full, complete and truthful; that the New York City Business Integrity Commission and the New York City Department of Investigation may, by any means they or each of them deem appropriate, determine the accuracy and truth of the statements made in this application; and that all the information submitted is for the express purpose of inducing the Business Integrity Commission to issue the applicant a labor union or labor organization registration to operate in the City's public wholesale markets.

(Signature of Applicant)

By: _____
(state title)

Sworn to before me

this _____ day of _____, 20_____

Notary Public

RELEASE AUTHORIZATION FOR APPLICANT

I, _____, am the _____ of _____ (the "Applicant"); I am over the age of 21 and I have the authority to execute this release on behalf of the applicant.

The applicant has authorized the New York City Business Integrity Commission ("Commission") to conduct an investigation into its background and the background of its principals, affiliates, agents and employees for the purpose of determining whether the applicant meets the registration standards set forth in Local Law 28 of 1997 and Local Law 50 of 1997 of the City of New York.

The applicant hereby authorizes any and all of the entities and individuals described below to release to the Commission any and all information, documentary or otherwise, pertaining to the applicant and/or its principals, affiliates, agents and employees as may be requested by the Commission. Any such information may be requested by and released to any employee, agent or representative of the Commission.

The applicant hereby authorizes the release of any such information by any federal, state, local, or foreign government or agency, any private organization or entity, and/or any individual in his or her personal or professional capacity. These entities and individuals include, but are not limited to, accountants, attorneys, banks, bookkeepers, common carriers, courts, credit reporting companies, data systems management companies, educational institutions, employee benefits managers, employees of the applicant, employers of the applicant's principal(s), financial institutions, internet service providers, investigative firms, investment firms, labor unions, law enforcement agencies, media companies, motor vehicle departments, pension funds, probation departments, selective service boards, taxing authorities, telecommunications companies and utilities.

This release shall apply to any such entities and individuals wherever they may be located, within or without the City of New York, State of New York, or United States of America. They may convey information in whatever form and by whatever means requested by the Commission, whether by telephone, fax, mail, computer media or by any other means.

This release authorization is effective for a period of five years as of the date set forth below. A photocopy or fax of this authorization will be construed as valid as though it were the original.

In connection with the release of information pursuant to this authorization, the applicant hereby waives the benefit of any confidentiality agreement and of any privileges pertaining to confidentiality and any rights to privacy that may be accorded by federal, state or local law.

NOTICE TO ENTITIES AND INDIVIDUALS RELEASING INFORMATION: The applicant hereby waives any right to be notified when an entity or individual releases information pursuant to this authorization and hereby authorizes the Commission to direct any such entity or individual not to provide such notification

Sworn to before me

(Signature of Applicant)

This _____ day of _____, 20 ____

By: _____
(state title)

Notary Public